

SKP Securities Ltd

Registered Office:
Chatterjee International Centre
Level 21
33A Jawaharlal Nehru Road
Kolkata. 700 071, India

Phone:
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+91 33 4007 7007

Website:
www.skpmoneywise.com

E-mail:
info@skpmoneywise.com

CIN :
L74140WB1990PLC049032

Date: 28th October, 2016

To
Deputy General Manager (Listing)
Corporate Relationship Department
BSE Ltd
Floor 25, Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001

Company Code: 531169

Sub: Submission of Compliance Certificate under Regulation 40(9) of the SEBI (LODR), Regulation, 2015.

Dear Sir/Madam,

Pursuant to Clause 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed Compliance Certificate for half year ended 30th September 2016.

Kindly acknowledge the receipt of above.

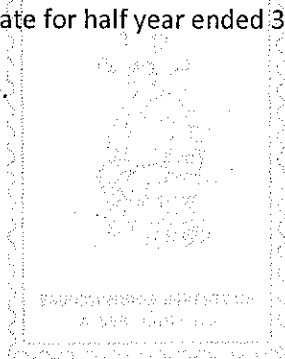
Thanking You,

For SKP Securities Ltd



Naresh Pachisia
Managing director

Encl: as above



Investment Banking | Wealth Advisory & Prosperity Management | Broking | Distribution

Sebi Regn. Nos : NSE: INB 230707532 BSE: INB 010707538 MCX-SX: INE 260707532 NSDL: IN-DP-NSDL-222-2001 CDSL: IN-DP-CDSL-132- 2000 AMFI: ARN 0006 NPS: 04110 ISO 9001 : 2008





COMPLIANCE CERTIFICATE


We have examined all the relevant Books, Registers, Forms, Documents and papers being maintained by "SKP Securities Limited" having its registered office at Chatterjee International Centre, 33A, Jawaharlal Nehru Road, 21st Floor, Kolkata – 700 071, India and by their Registrar & Share Transfer Agent (RTA) "Maheshwari Datamatics Pvt. Ltd." for the purpose of issuing certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange and hereby certify that the Company / its RTA has delivered all certificates of shares issued after registration of transfer within thirty days of their date of lodgment during the half year ended on 30th September, 2016.

We also confirm that the Company and / or its RTA have not received any shares for transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year ended on 30th September, 2016.

Place: Kolkata

Date : 21st October, 2016




(ANIL MURARKA)
FCS No. : 3150
COP No. :1857