

**SKP Securities Ltd**

Registered Office:  
Chatterjee International Centre  
Level 21  
33A Jawaharlal Nehru Road  
Kolkata 700 071, India

Phone:  
+91 33 4007 7000

Fax:  
+91 33 4007 7007

Website:  
www.skpmoneywise.com

E-mail:  
info@skpmoneywise.com

CIN:  
L74140WB1990PLC049032

**Date: 20<sup>th</sup> April, 2018**

To  
Deputy General Manager (Listing)  
Department of Corporate Services  
BSE Ltd.,  
Phiroze Jeejeebhoy Towers, 25<sup>th</sup> Floor,  
Dalal Street, Mumbai- 400 001

Dear Sir/Madam,

**Company Code: 531169**

**Sub: Statement of Compliance Certificate pursuant to Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015**

In compliance with Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith a Compliance Certificate for the half year ended 31<sup>st</sup> March, 2018.

You are requested to take the same on your record.

Thanking You,

Yours Sincerely,

For SKP Securities Limited

*Alka Khetawat*  
Alka Khetawat  
(Company Secretary)



Encl: as above

**Investment Banking | Wealth Advisory & Prosperity Management | Broking | Distribution**

Sebi Regn. Nos : NSE : INB / INF / NSE 230707532 BSE : INB 010707538 NSDL : IN-DP-NSDL-222-2001 CDSL : IN-DP-155-2015 AMFI : ARN 0006 NPS : 04110 ISO 9001:2008





**COMPLIANCE CERTIFICATE**

We have examined all the relevant Books, Registers, Forms, Documents and papers being maintained by "SKP Securities Limited" having its registered office at Chatterjee International Centre, 33A, Jawaharlal Nehru Road, 21<sup>st</sup> Floor, Kolkata – 700 071, India and by their Registrar & Share Transfer Agent (RTA) "Maheshwari Datamatics Pvt. Ltd." for the purpose of issuing certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange and hereby certify that the Company / its RTA has delivered all certificates of shares issued after registration of transfer within thirty days of their date of judgment during the half year ended on 31<sup>st</sup> March, 2018.

We also confirm that the Company and / or its RTA have not received any shares for transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year ended on 31<sup>st</sup> March, 2018.

Place: Kolkata

Date : 19<sup>th</sup> April, 2018



  
(ANIL MURARKA)  
FCS No. : 3150  
COP No. :1857