

SKP Securities Ltd

Registered Office:
Chatterjee International Centre
Level 21
33A Jawaharlal Nehru Road
Kolkata 700 071, India

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Website:
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E-mail:
info@skpmoneywise.com

CIN:
L74140WB1990PLC049032

Date: 23rd October, 2018

To
Deputy General Manager (Listing)
Department of Corporate Services
BSE Ltd.,
Phiroze Jeejeebhoy Towers, 25th Floor,
Dalal Street, Mumbai- 400 001

Dear Sir/Madam,

Company Code: 531169

Sub: Statement of Compliance Certificate pursuant to Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015

In compliance with Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith a Compliance Certificate for the half year ended 30th September, 2018.

You are requested to take the same on your record.

Thanking You,

Yours Sincerely,

For SKP Securities Limited

Alka Khetawat

Alka Khetawat
(Company Secretary)



Encl: as above

Investment Banking | Wealth Advisory & Prosperity Management | Broking | Distribution

Sebi Regn. Nos : NSE : INB / INF / NSE 230707532 BSE : INB 010707538 NSDL : IN-DP-NSDL-222-2001 CDSL : IN-DP-155-2015 AMFI : ARN 0006 NPS : 04110 ISO 9001:2008





COMPLIANCE CERTIFICATE


We have examined all the relevant Books, Registers, Forms, Documents and papers being maintained by "SKP Securities Limited" having its registered office at Chatterjee International Centre, 33A, Jawaharlal Nehru Road, 21st Floor, Kolkata – 700 071, India and by their Registrar & Share Transfer Agent (RTA) "Maheshwari Datamatics Pvt. Ltd." for the purpose of issuing certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange and hereby certify that the Company / its RTA has delivered all certificates of shares issued after registration of transfer within thirty days of their date of lodgment during the half year ended on 30th September, 2018.

We also confirm that the Company and / or its RTA have not received any shares for transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year ended on 30th September, 2018.

Place: Kolkata

Date : 22nd October, 2018




(ANIL MURARKA)
FCS No. : 3150
COP No. : 1857