

QUARTERLY CORPORATE GOVERNANCE REPORT

Name of the Company:
Quarter ending on :

SKP SECURITIES LTD.
March 31, 2014

SKP Securities Ltd
Registered Office:
Chatterjee International Centre
Level 21
33A Jawaharlal Nehru Road
Kolkata 700 071, India

Phone:
+91 33 4007 7000

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+91 33 4007 7007

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
1	2	3	4
I Board of Directors	49 I	Yes	
(A) Composition of Board	49(IA)	Yes	
(B) Non-executive Directors' compensation & disclosures	49 (IB)	Yes	
(C) Other provisions as to Board and Committees	49 (IC)	Yes	
(D) Code of Conduct	49 (ID)	Yes	
II. Audit Committee	49 (II)	Yes	
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee	49 (IIB)	Yes	
(C) Powers of Audit Committee 49 (IIC)	49 (IIC)	Yes	
(D) Role of Audit Committee	49 II(D)	Yes	
(E) Review of Information by Audit Committee	49.(IIE)	Yes	
III. Subsidiary Companies	49 (III)	Yes	
IV. Disclosures	49 (IV)	Yes	
(A) Basis of related party transactions	49 (IV A)	Yes	
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IV D)	N.A.	
(E) Remuneration of Directors	49 (IV E)	Yes	
(F) Management	49 (IV F)	Yes	
(G) Shareholders	49 (IV G)	Yes	
V.CEO/CFO Certification	49 (V)	Yes	
VI. Report on Corporate Governance	49 (VI)	Yes	
VII. Compliance	49 (VII)	Yes	

Website:
www.skpmoneywise.com

E-mail:
info@skpmoneywise.com

CRN:
179140WB1990PLC049032

For & on behalf of
SKP Securities Ltd

Dipak Kadel

Dipak Kadel
Company Secretary

Date: 09th April, 2014
Place: Kolkata

Investment Banking | Wealth Advisory & Prosperity Management | Broking | Distribution

Sebi Regn. Nos : NSE: INB 230707532 BSE: INB 010707538 MCX-SX: FNE 260707532 NSDL: IN-DP-NSDL-222-2001 CDSL: IN-DP-CDSL-132-2000 AMFI: ARN 0006 NPS: 04116 ISO 9001 : 2008

